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PTO/SB/01 (12-97)  
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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing **OR** ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	1/1418US
First Named Inventor	Ingo KONETZKI
<b>COMPLETE IF KNOWN</b>	
Application Number	10 / 697,525
Filing Date	10/30/2003
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Dihydroxymethylphenyl Derivatives, Processes For Preparing Them,  
And Their Use As Pharmaceuticals**

the specification of which  
☐ is attached hereto  
**OR**  
☒ was filed on (MM/DD/YYYY) **10/30/2003** as United States Application Number or PCT International

Application Number **10/697,525** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 385(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
DE 102 53 220	Germany	11/15/2002	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/434,053	12/17/2002	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

(Page 1 of 2)

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application							
<small>I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.</small>							
U.S. Parent Application or PCT Parent Number				Parent Filing Date (MM/DD/YYYY)		Parent Patent Number (if applicable)	
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.							
As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: <input type="checkbox"/> Customer Number <input type="text"/> OR <input checked="" type="checkbox"/> Registered practitioner(s) name/registration number listed below							
Name		Registration Number		Name		Registration Number	
Robert P. Raymond		25,089		Anthony P. Bottino		41,629	
Michael P. Morris		34,513		Susan K. Pocchiarri		45,016	
Mary-Ellen M. Devlin		27,928		Philip I. Datlow		41,482	
Alan R. Stempel		28,991		David A. Dow		46,124	
Timothy X. Witkowski		40,232					
<input type="checkbox"/> Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.							
Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label <input type="text" value="28501"/> OR <input type="checkbox"/> Correspondence address below							
Name							
Address							
Address							
City		State		ZIP			
Country		Telephone		Fax			
<small>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</small>							
Name of Sole or First Inventor:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Ingo				KONETZKI			
Inventor's Signature		<i>Ingo Konetzki</i>				Date	
						2-18-2004	
Residence: City		Warthausen		State		Country	
						Germany	
Post Office Address		Muellerweg 9					
Post Office Address							
City		Warthausen		State		ZIP	
						88447	
Country		Germany					
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>3</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto							

PTO/SB/02A (08-03)

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b>
	Supplemental Sheet Page <u>1</u> of <u>3</u>

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Kurt		SCHROMM	
Inventor's Signature <i>Kurt Schromm</i>		Date <i>Febr. 12, 2004</i>	
Residence: City	Ingelheim am Rhein	State	Country Germany
Citizenship		DE	
Mailing Address In der Doerfwiese 35			
Mailing Address			
City	Ingelheim am Rhein	State	Zip 55218
Country		Germany	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Hermann		SCHOLLENBERGER	
Inventor's Signature <i>Hermann Schollenberger</i>		Date <i>Feb. 12, 2004</i>	
Residence: City	Ingelheim am Rhein	State	Country Germany
Citizenship		DE	
Mailing Address Hauff-Strasse 4			
Mailing Address			
City	Ingelheim am Rhein	State	Zip 55218
Country		Germany	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Sabine		PESTEL	
Inventor's Signature <i>Sabine Pestel</i>		Date <i>Nov 3, 2004</i>	
Residence: City	Biberach	State	Country Germany
Citizenship		DE	
Mailing Address Thueringenstrasse 43			
Mailing Address			
City	Biberach	State	Zip 88400
Country		Germany	

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Docket No. 1/1418US

PTO/SB/02A (08-03)

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b>
	Supplemental Sheet

Page 2 of 3

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Andreas		SCHNAPP	
Inventor's Signature <i>A. Schnapp</i>		Date <u>3-3-2004</u>	
Residence: City	Biberach	State	Country Germany
Citizenship DE			
Mailing Address Esterbuch 5			
Mailing Address			
City	Biberach	State	Zip 88400
Country Germany			
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Thierry		BOUYSSOU	
Inventor's Signature <i>[Signature]</i>		Date <u>19.02.2004</u>	
Residence: City	Mietingen	State	Country Germany
Citizenship FR			
Mailing Address Ulrich-Schmid-Strasse 7			
Mailing Address			
City	Mietingen	State	Zip 88487
Country Germany			
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Frank		BUETTNER	
Inventor's Signature <i>Frank Buettner</i>		Date <u>2-18-2004</u>	
Residence: City	Ummendorf	State	Country Germany
Citizenship DE			
Mailing Address Panoramastrasse 36			
Mailing Address			
City	Ummendorf	State	Zip 88444
Country Germany			

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b>
	Supplemental Sheet

Page 3 of 3

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Claudia		HEINE	
Inventor's Signature <i>Claudia Heine</i>		Date <i>3-8-2004</i>	
Residence: City	Biberach	State	Country Germany
Citizenship DE			
Mailing Address Hugo-Haering-Strasse 10			
Mailing Address			
City	Biberach	State	Zip 88400
Country Germany			
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	Country
Citizenship			
Mailing Address			
Mailing Address			
City		State	Zip
Country			
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	Country
Citizenship			
Mailing Address			
Mailing Address			
City		State	Zip
Country			

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